

Anti-Money Laundering Questionnaire

GENERAL INFORMATION AND OWNERSHIP MANAGEMENT INFORMATION	
1. Full legal name	Export-Import Bank of Thailand (“Exim Thailand”)
2. Date and Country of Incorporation; Legal Status; Banking License Type and No.	Export-Import Bank of Thailand (EXIM Thailand) is a financial institution wholly owned by the Royal Thai Government under the Ministry of Finance's supervision. EXIM Thailand was established and governed by the Export-Import Bank of Thailand Act B.E. 2536 (1993) which became effective on September 7, 1993. Therefore, EXIM Thailand is not required to have any banking license, Memorandum of Association, and Articles of Association.
3. Business address	EXIM Building 1193 Phaholyothin Road, Samsennai, Phayathai, Bangkok 10400, Thailand
4. Is Your Organization a Listed Company?	NO
5. Website Address	www.exim.go.th
6. SWIFT Code	EXTHTHBKXXX
7. Principal Banking Activities; Major Business Activities	EXIM Thailand’s principal banking activities are to provide products and services which promote and support (i) the export – import of goods or services by Thais and (ii) overseas investment by Thai investors. The products and services include short/long term financing, export credit insurance, investment insurance etc.
8. List of Board of Directors	Please see detail at our website “ www.exim.go.th ” “About EXIM Thailand”.
9. Name and Addresses of the shareholders, holding 5 percent and over	We are wholly owned by the Royal Thai Government under the Ministry of Finance’s supervision, therefore no one is the ultimate beneficial owner.

GENERAL INFORMATION AND OWNERSHIP MANAGEMENT INFORMATION

(Continued)

10. Name of your Regulatory authority	The Anti-Money Laundering Office (“AMLO”)
11. Name and address of the external auditors of your bank?	Office of the Auditor General which having address at Soi Areesampan, Rama 6 Road, Bangkok, Thailand
12. Number of branches: Affiliates, Subsidiaries	At the present, EXIM Thailand has 9 domestic branches. EXIM Thailand does not have an overseas branch/ subsidiary. Further details can be obtained from our website.
13. Contact details of Compliance officer	Name: Ms. Khemjariya Teerapong Title: First Vice President , Office of Compliance Address: EXIM Building 1193 Phaholyothin Road, Samsennai, Phayathai, Bangkok 10400, Thailand. Phone no: (662)-271-3700 ext 1201 Fax no: (662)-617-1780 E-mail: khemjariyat@exim.go.th

AML POLICIES, PRACTICES AND PROCEDURES

1. Is money laundering a criminal offence in your country?	YES
2. Are there specific laws and/ or regulations in place covering AML?	YES
3. Do the Laws and regulations in jurisdiction prohibit:	
a) Opening or maintenance of Anonymous or Numbered accounts?	YES
b) Conducting business with Shell Bank?	YES
4. Does regulatory body oversee anti money laundering arrangements?	YES
5. Does your bank have written policies and procedures to combat money laundering and terrorist financing, including the identification of customers and confirming the legitimacy of their business?	YES
Are these policies and procedures sufficient to meet the AML regulations and international best practice guidelines?	YES

AML POLICIES, PRACTICES AND PROCEDURES

(Continued)

<p>6. Does your bank's AML compliance program require approval of the board or a senior committee thereof?</p>	<p align="center">YES</p>
<p>7. Does your bank have an established audit and / compliance function to monitor and review the effectiveness of AML policies and procedures?</p>	<p align="center">YES</p>
<p>8. Does your bank have policies covering relationships with politically exposed persons, their family and close associates?</p>	<p align="center">YES</p>
<p>9. Does your bank maintain a complete record of customers and account transactions history, correspondence etc.</p>	<p align="center">YES</p>
<p>10. What is the specified period of retention?</p>	<p align="center">Not less than 10 years after the termination of relationship.</p>
<p>11. Does your bank KYC process extend to relationships with other Banks?</p>	<p align="center">YES</p>
<p>12. Does your bank comply with the FATF recommendations?</p>	<p align="center">YES</p>
<p>13. Are the AML and CFT policies applicable to your head office and domestic branches?</p>	<p align="center">YES</p>
<p>14. Has your bank been subject to any investigation, indictment, conviction or civil enforcement action related to money laundering and terrorism financing in the past five years?</p>	<p align="center">NO</p>
<p>15. Does your bank allow direct use of its correspondent accounts by its customers to transact business on their behalf (payable through account services)?</p>	<p align="center">NO</p>

MONITORING AND REPORTING OF SUSPICIOUS TRANSACTIONS

<p>1. Does your bank have an established method of reporting suspicious activities/ transactions to the appropriate regulators/ laws enforcements agency?</p>	<p>Yes, we report to AMLO for the following transactions as required by the law: transaction that involve money equivalent to or more than Thai baht two million; transaction that involve asset equivalent to or more than Thai baht five million; transaction that are considered suspicious i.e. transaction that conduct to avoid the AML/CFT regulations or connect with the predicate offense or terrorist financing offence etc. and wire transfer transaction that equivalent to or more than Thai baht seven hundred thousand.</p>
<p>2. Does your bank screen customers/ transactions against lists administered by United Nations, EU, OFAC or any International organization or local authority in your country of sanctioned countries / Entities/Individuals?</p>	<p style="text-align: center;">YES</p>
<p>3. Do transaction monitoring systems are in place in your organization?</p> <p>a) To detect the suspicious transactions</p> <p>b) To screen the sanctioned / negative entities/Names</p>	<p>Yes, we use KYC to check the identity, background and source of wealth of the customers and CDD to monitor the customer and his or her activities. Also, we have valid procedures for our staff to check the customers and related parties from AMLO System.</p>
<p>4. Does your bank have a mechanism to detect suspicious or abnormal transaction activities and procedures for reporting them?</p>	<p style="text-align: center;">YES</p>
<p>5. Does your bank pay particular attention when continuing relationships with correspondent banks located in jurisdictions that have poor KYC standards or have been identifies by Financial Action Task Force as being “ non cooperative” in the fight against money laundering?</p>	<p style="text-align: center;">YES</p>

TRAINING PROGRAM

1. Does your bank have an established employee training program to educate employees about combating money laundering and terrorist financing and to assist them in identifying suspicious activities?	YES
2. Does your bank retain records of its training sessions including attendance records and relevant training materials used?	YES
3. Does your bank have policies to communicate new AML related laws or changes to existing AML related policies or practices to relevant employees?	YES
4. Does your bank employ agents to carry out some of the functions of FI and if so does the FI provide AML training to relevant agents that include Identification and reports of transactions that must be reported to government authorities?	No
For further details, please visit : <ul style="list-style-type: none">● www.exim.go.th “About EXIM Thailand” for other relevant information.● www.exim.go.th/en/about_exim/Compliance.aspx	

I, the undersigned, confirm the validity and accuracy of the information given above.



Ms. Khemjariya Teerapong
First Vice President
Office of Compliance